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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,350	02/22/2002	Linda M. Braun	Braun 2-16-2

CONFIRMATION NO. 9772

## FORMALITIES LETTER



\*OC000000007852136\*

Glen E. Books, Esq.  
Lowenstein Sandler  
65 Livingston Avenue  
Roseland, NJ 07068

Date Mailed: 04/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

S.B

Customer Service Center

Initial Patent Examination Division (703) 308-1202

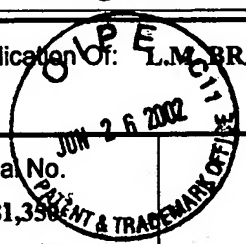
PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/01/2002 SMINASS1 00000078 501358 10081350

01 FC:105 130.00 CH

T.D  
\$MP  
4

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Large Entity)</b>		<b>Docket No.</b> <b>BRAUN 2-16-2</b>	
In Re Application Of: <b>L.M. BRAUN et al.</b>		COPY OF PAPERS ORIGINALLY FILED	
Serial No. <b>10/081,350</b>	Filing Date <b>02/22/2002</b>	Examiner <b>TBA</b>	Group Art Unit <b>2874</b>



Invention: **METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN WAVEGUIDING STRUCTURES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:  
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
04/11/2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**ASSIGNMENT TO LUCENT TECHNOLOGIES INC.**  
**RECORDATION COVER SHEET**  
**FORMAL DRAWINGS**  
**RETURN POSTCARD**

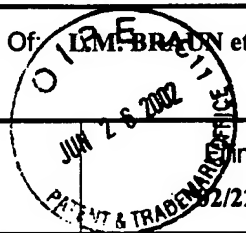
07/01/2002 SM NASS1 00000078 501358 10081350  
02 FC:115 110.00 CH

3

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533)(Large Entity)</b>	Docket No. <b>BRAUN 2-16-2</b>
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In Re Application Of: <b>LM BRAUN et al.</b>	COPY OF PAPERS ORIGINALY FILED
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Serial No. <b>10/081,350</b>	Filing Date <b>02/22/2002</b>	Examiner <b>TBA</b>	Group Art Unit <b>2874</b>
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Invention: <b>METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN WAVEGUIDING STRUCTURES</b>
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

<input type="checkbox"/> Utility application filing fee	_____
<input type="checkbox"/> Design application filing fee	_____
<input type="checkbox"/> Total number of independent claims = _____	_____
<input type="checkbox"/> Total number of claims = _____	_____
<input type="checkbox"/> Multiple dependent claims	_____
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<b>\$130.00</b>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	_____
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	_____
<input type="checkbox"/> Fee for processing and retention of application	_____
<b>Total completion of application fees</b>	<b>\$130.00</b>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

<input checked="" type="checkbox"/> One month	<input type="checkbox"/> Two months	<input type="checkbox"/> Three months	<input type="checkbox"/> Four months	<input type="checkbox"/> Five months
from: _____	until: _____			
<i>Date</i>	<i>Date</i>			
Total time extension fees				_____ <b>\$110.00</b>
Total fees due				_____ <b>\$240.00</b>



23

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**BRAUN 2-16-2**

In Re: Application Of: **L.M. BRAUN et al.**

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
**10/081,350**

Filing Date  
**02/22/2002**

Examiner  
**TBA**

Group Art Unit  
**2874**

Invention: **METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN  
WAVEGUIDING STRUCTURES**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$240.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **501358**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **501358**  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **6/20/02**

**GLEN E. BOOKS  
REG. NO. 24,950**

**LOWENSTEIN SANDLER PC  
65 LIVINGSTON AVENUE  
ROSELAND, NJ 07068  
973-597-6162**

I certify that this document and fee is being deposited  
on **6/20/02** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**ALAYNE GELLER**

Typed or Printed Name of Person Mailing Correspondence

CC:

STPE 1911  
JUN 26 2002  
PATENT & TRADEMARK OFFICE

# TRANSMITTAL OF FORMAL DRAWINGS

Docket No.  
BRAUN 2-16-2

In Re Application Of: L.M. BRAUN et al.

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
10/081,350	02/22/2002		TBA	2874

Invention: **METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN WAVEGUIDE STRUCTURES**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are:

ONE (1) sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).

*Glenn E. Books*  
Signature  
**GLEN E. BOOKS**  
REG. NO. 24,950  
  
**LOWENSTEIN SANDLER PC**  
65 LIVINGSTON AVENUE  
ROSELAND, NJ 07068  
973-597-6162

Dated: 6/20/02

I certify that this document and attached formal drawings are being deposited on 6/20/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Alayne Geller*  
Signature of Person Mailing Correspondence

**ALAYNE GELLER**

Typed or Printed Name of Person Mailing Correspondence